

SECTION 1 CLIENT DETAILS

Applicant's Statutory Name

Name

Address

City Zip Code

Country

Applicant's Commercial Name

Name

Address

City Zip Code

Country

Visiting address:
(if different to statutory and/or correspondence)

Name

Address

City Zip Code

Country

Main contact (for account opening)

Position/Function

Phone Number

email: _____

Applicant's BIC:

Application in capacity of:

Direct Clearing Participant General Clearing Participant

Company registration no./ Chamber of commerce no.

Original date of incorporation:

Country of incorporation of applicant:

Is applicant part of a group or have multiple shareholders? (if yes, please provide a copy of group family tree or shareholder structure) Yes No

Type of corporation:

Ultimate shareholders (list names of major shareholders with %'s)

Name of Regulator:	<input type="text"/>	Applicant's Regulatory status:	<input type="text"/>
Website Regulator	<input type="text"/>		

(Clearing)memberships held at the following Exchanges

Exchange:	<input type="text"/>	Name of entity:	<input type="text"/>	Member since:	<input type="text"/>	Capacity:	<input type="text"/>
Exchange:	<input type="text"/>	Name of entity:	<input type="text"/>	Member since:	<input type="text"/>	Capacity:	<input type="text"/>
Exchange:	<input type="text"/>	Name of entity:	<input type="text"/>	Member since:	<input type="text"/>	Capacity:	<input type="text"/>
Exchange:	<input type="text"/>	Name of entity:	<input type="text"/>	Member since:	<input type="text"/>	Capacity:	<input type="text"/>
Exchange:	<input type="text"/>	Name of entity:	<input type="text"/>	Member since:	<input type="text"/>	Capacity:	<input type="text"/>

SECTION 2 **CCP SERVICES**

EuroCCP sends regular newflashes by email to its Clearing Participants. Please confirm the recipients' email addresses.

Name	<input type="text"/>	<input type="checkbox"/> Press release
Department	<input type="text"/>	<input type="checkbox"/> Operations
Title	<input type="text"/>	<input type="checkbox"/> Regulations
Dir. Tel. No.	<input type="text"/>	<input type="checkbox"/> Risk Management
email	<input type="text"/>	<input type="checkbox"/> Buy-in offer

Name	<input type="text"/>	<input type="checkbox"/> Press release
Department	<input type="text"/>	<input type="checkbox"/> Operations
Title	<input type="text"/>	<input type="checkbox"/> Regulations
Dir. Tel. No.	<input type="text"/>	<input type="checkbox"/> Risk Management
email	<input type="text"/>	<input type="checkbox"/> Buy-in offer

Name	<input type="text"/>	<input type="checkbox"/> Press release
Department	<input type="text"/>	<input type="checkbox"/> Operations
Title	<input type="text"/>	<input type="checkbox"/> Regulations
Dir. Tel. No.	<input type="text"/>	<input type="checkbox"/> Risk Management
email	<input type="text"/>	<input type="checkbox"/> Buy-in offer

Name	<input type="text"/>	<input type="checkbox"/> Press release
Department	<input type="text"/>	<input type="checkbox"/> Operations
Title	<input type="text"/>	<input type="checkbox"/> Regulations
Dir. Tel. No.	<input type="text"/>	<input type="checkbox"/> Risk Management
email	<input type="text"/>	<input type="checkbox"/> Buy-in offer

EuroCCP is able to send output in various formats. Please provide a contact in order to discuss the required set-ups.

Main contact (for IT set-up)	<input type="text"/>	Position/Function	<input type="text"/>
Phone no.	<input type="text"/>	email:	<input type="text"/>

EuroCCP is able to make available daily End of Day CIF files containing detailed CP information through sFTP and/or email. (Please confirm interest by selecting relevant boxes)	<input type="checkbox"/> sFTP	EuroCCP is able to make available <u>intraday</u> CIF files containing CP related information through sFTP and/or email. (Please indicate your interest by selecting relevant boxes)	<input type="checkbox"/> sFTP
	<input type="checkbox"/> email		<input type="checkbox"/> email

EuroCCP is able to send real-time transaction confirmations using the FIX protocol via a BT Radianz network connection or VPN. Please indicate your interest.	<input type="checkbox"/> YES	If yes, please provide contact details for further discussing this service and its (technical) requirements and configuration.	
	<input type="checkbox"/> NO	Name <input type="text"/>	Telephone no. <input type="text"/>

EuroCCP is able to make available daily report files, including the daily margin report, for Clearing Participants through sFTP and/or email. Please indicate your interest in daily report files by selecting relevant boxes. Please contact your EuroCCP Relationship Manager for more information on daily report files.	<input type="checkbox"/> sFTP
	<input type="checkbox"/> email

EuroCCP sends monthly invoices via email. Please provide contact details of the recipient's email address for the receipt of the monthly invoice.	Name <input type="text"/>
	email <input type="text"/>

In addition to the monthly invoices, EuroCCP is able to make available a monthly Fee Invoice File (FIF) that contains detailed fee and invoice information through sFTP and/or email. (Please confirm your interest by selecting relevant boxes.)	<input type="checkbox"/> sFTP
	<input type="checkbox"/> email

Information by email.

If you have indicated above that you want to receive CIF files, daily reports or the FIF file by email, please fill in the below section.

Name <input type="text"/>	<input type="checkbox"/> Daily report files
email <input type="text"/>	<input type="checkbox"/> CIF file
	<input type="checkbox"/> FIF file

Name <input type="text"/>	<input type="checkbox"/> Daily report files
email <input type="text"/>	<input type="checkbox"/> CIF file
	<input type="checkbox"/> FIF file

Name <input type="text"/>	<input type="checkbox"/> Daily report files
email <input type="text"/>	<input type="checkbox"/> CIF file
	<input type="checkbox"/> FIF file

Name <input type="text"/>	<input type="checkbox"/> Daily report files
email <input type="text"/>	<input type="checkbox"/> CIF file
	<input type="checkbox"/> FIF file

Name <input type="text"/>	<input type="checkbox"/> Daily report files
email <input type="text"/>	<input type="checkbox"/> CIF file
	<input type="checkbox"/> FIF file

Name <input type="text"/>	<input type="checkbox"/> Daily report files
email <input type="text"/>	<input type="checkbox"/> CIF file
	<input type="checkbox"/> FIF file

Note on email security
EuroCCP strongly advises clients to arrange PKI certificates for all email addresses used and hand over the public keys to EuroCCP (servicedesk.it@euroccp.com). EuroCCP will use your public keys to encrypt email messages.

Information through sFTP
If you are interested in receiving any of the above information through sFTP file transfer, please fill in the "File Transfer Technical Set Up Form - Type A" form. This form can be downloaded from EuroCCP website or obtained from your EuroCCP Relationship Manager.
Note that all files prepared for CP's are zipped and stored on EuroCCP's sFTP server to be downloaded by the CP

SECTION 3**OPERATIONAL**

EuroCCP serves various trading venues. Please indicate below on which trading venue you would like to start on. Trading venues can always be added in a later stage by completing a Statement of Authority. These statements are available for download [here](#). These statements also allow you which market segments you want to trade.

<input type="checkbox"/> Aquis Exchange	<input type="checkbox"/> Equiduct	<input type="checkbox"/> NASDAQ OMX Sweden
<input type="checkbox"/> BATS Chi-X Europe (orderbook BXE)	<input type="checkbox"/> GetMatched	<input type="checkbox"/> Sigma-X
<input type="checkbox"/> BATS Chi-X Europe (order book CXE)	<input type="checkbox"/> London Stock Exchange (as per 23/3/15)	<input type="checkbox"/> Smartpool
<input type="checkbox"/> BATS Chi-X Europe (ETR service)	<input type="checkbox"/> NASDAQ OMX Denmark	<input type="checkbox"/> TOM (the Order Machine)
<input type="checkbox"/> Turquoise	<input type="checkbox"/> NASDAQ OMX Finland	<input type="checkbox"/> Traiana
<input type="checkbox"/> UBS MTF		

EuroCCP would like to have your payment instructions due to monthly interest payments of funds deposited in our Clearing Fund.

	EUR	GBP	USD
Bank name:	<input type="text"/>	<input type="text"/>	<input type="text"/>
Cash correspondent BIC:	<input type="text"/>	<input type="text"/>	<input type="text"/>
IBAN:	<input type="text"/>	<input type="text"/>	<input type="text"/>
Final beneficiary BIC:	<input type="text"/>	<input type="text"/>	<input type="text"/>

Besides the information provided in this document, EuroCCP also wishes to receive the following documentation. Please send these document electronically to rm@euroccp.com. Hardcopies can be send to:

EuroCCP
 attn: Relationship Management
 Broadgate House
 1, Snowden Street
 London EC2A 2DQ
 UNITED KINGDOM

<input type="checkbox"/> Certificate of Incorporation or excerpt of Chamber of Commerce.	<input type="checkbox"/> Articles of Association
<input type="checkbox"/> Group family tree organisational chart	<input type="checkbox"/> List of management
<input type="checkbox"/> Most recent audited annual report	<input type="checkbox"/> List of Authorised Signatures
<input type="checkbox"/> Proof of regulated status	<input type="checkbox"/> List of contacts in your organisation (operations, management)